

2026 Internal Audit Plan Approval



UTA 2022 – 2030 Strategic Plan Goals

Goals & 2030 Objectives

MOVING UTAHNS TO A BETTER QUALITY OF LIFE

70% of Utah's population (75% of UTA's service area) resides within one-half mile of UTA's transit service, and the carbon footprint of UTA vehicles and facilities is reduced by 25%

EXCEEDING CUSTOMER EXPECTATIONS

Achieve a 45% increase—10% every two years—in UTA's Net Promoter Score (How likely would you be to recommend UTA to your friends and family?)

ACHIEVING ORGANIZATIONAL EXCELLENCE

Receive industry recognition for operating a dynamic and forward-thinking public enterprise—a nimble, innovative, thriving environment for professional achievement

BUILDING COMMUNITY SUPPORT

More than 100 actively engaged formal alliances and affinity groups telling their stories in ways that influence transit-friendly outcomes

GENERATING CRITICAL ECONOMIC RETURN

Communities across the region and state recognize the economic value and positive return on investment that UTA provides statewide



UTA Level 1 Risks

1. Technology
2. Infrastructure
3. Strategy/Planning
4. Financial
5. Information Security
6. Operational
7. Regulatory/Compliance
8. Reputational



2026 Audit Plan – Internal Audit Projects

Engagement #	Audit Phase Project	UTA Strategic Priorities	Level 1 Risks
26-01	Customer Support Governance	Customer Expectations; Organizational Excellence	Reputational
26-02	Capital Asset Accounting	Organizational Excellence; Economic Return	Financial
26-03	Information Technology System Key Control	Organizational Excellence	Technology; Information Security



2026 Audit Plan – Internal Audit Projects

Engagement #	Audit Phase Project	UTA Strategic Priorities	Level 1 Risks
26-04	Federal Funding Compliance	Organizational Excellence; Economic Return	Financial; Regulatory/Compliance
26-05	Real Estate Limited Scope Audit	Organizational Excellence; Economic Return	Infrastructure; Financial
26-06	Claims Governance	Organizational Excellence	Financial



2026 Audit Plan – Internal Audit Projects

Engagement #	Audit Phase Project	UTA Strategic Priorities	Level 1 Risks
26-07	Accounting Separation of Duties	Economic Return; Organizational Excellence	Financial
26-08	Light Rail Safety	Customer Expectations; Organizational Excellence	Regulatory/Compliance; Reputational; Operational; Infrastructure
26-09	Commuter Rail Safety	Customer Expectations; Organizational Excellence	Regulatory/Compliance; Reputational; Operational; Infrastructure
26-10	Sole Source Procurement	Organizational Excellence; Economic Return	Regulatory/Compliance; Reputational; Financial



Carryover Projects from 2025 Audit Plan

Engagement #	Audit Phase Project	UTA Strategic Priorities	Level 1 Risks
25-06	Drug and Alcohol Compliance	Customer Expectations; Organizational Excellence	Regulatory/Compliance; Reputational
25-14	Information Technology Physical and Environmental Security	Organizational Excellence	Information Security; Technology

