

# Commuter Rail Safety Audit (25-09)



# Audit Scope

- Period of Review: January 1, 2024 – December 31, 2024. Other periods reviewed as necessary.
- Audit topics:
  - Safety Goals
  - Hazard Management Program and Analysis
  - Railroad System Description
  - Emergency Management
  - Workplace Safety
  - Rules Compliance and Procedure Review
  - Maintenance, Repair, and Inspection Program
  - Internal System Safety Program
  - Internal Safety Program



## Audit Results

- Internal Audit completed the audit on November 4, 2025.
- A report was issued to the UTA Director of Safety and Security
- The report was limited to observations with no recommendations
- It is the decision of the Safety Department what observations to address and how to address them. The Federal Railroad Administration reviews the results of the audit and how findings are addressed.



# Audit Results

- Findings of Compliance with Recommendations
  - IA noted that the 239 Passenger Train Emergency Preparedness Plan has not been updated since 2018
  - The language in the 239 Plan for emergency equipment available on trains was outdated.
  - System Safety Program Goals contained in the Front Runner System Safety Program (FRSSP) have not been communicated to employees by management. Additionally, the rules are missing from the Rule Book.



# Audit Results

- Findings of Non-compliance
  - The Safety Department had not maintained the Hazard Log tracking Corrective Action Plans (CAPs) for the years of 2023 and 2024.
  - During a safety walkthrough IA found multiple unlabeled chemicals on the maintenance shop floor and improperly stored.



# Audit Results

- Findings of Non-compliance
  - IA tested the Safety Data Sheet (SDS) database on UTA's SharePoint and identified a number of chemicals lacking directions related to first aid, personal protective equipment (PPE), spill response, fire response, and storage and handling.
  - IA found that nine employees had not completed the required Emergency Response training. Further information after the audit completion showed that training had occurred but documentation needed to be updated.

